## **EXHIBIT A**

#### M. Elizabeth Graham (Bar No.143085) 1 GRANT & EISENHOFER, P.A. 201 Mission Street, Suite 1200 San Francisco, CA 94105 Clerk of the Superior Court 3 Telephone: (415) 293-8210 MAR 25 2022 Fax: (415) 789-4367 4 Email: egraham@gelaw.com D. CIMMINO DEPUTY CLERK 5 Warren Postman (Bar No. 330869) KELLER LENKNER LLC 1100 Vermont Ave. NW 12th Floor 7 Washington, D.C. 20005 Telephone: (202) 918-1870 8 Fax: (312) 971-3502 Email: wdp@kellerlenkner.com Kimberly Channick (Bar No. 325089) WALSH LAW PLLC 13428 Maxella Avenue, #203 11 Marina del Rey, CA 90292 Telephone: (213) 863-4276 12 Fax: (202) 780-3678 Email: kchannick@alexwalshlaw.com 13 Alex Walsh (pro hac vice forthcoming) 14 WALSH LAW PLLC 1050 Connecticut Ave, NW, Suite 500 15 Washington, D.C. 20036 ASSIGNED TO Telephone: (202) 780-4127 JUDGE STEPHEN GIZZI 16 Fax: (202) 780-3678 Email: awalsh@alexwalshlaw.com 17 FOR ALL PURPOSES Attorneys for Plaintiff 18 19 IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA 20 FOR THE COUNTY OF SOLANO 21 22 MARGURITE PARIANI, on her own behalf and ) Case No. on behalf of her minor child E.P., 23 COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL Plaintiff, 24 V. 25 (1) Strict products liability for design defect (2) Strict products liability for failure to warn MEAD JOHNSON & COMPANY, LLC, 26 (3) Negligence MEAD JOHNSON NUTRITION COMPANY, (4) Intentional misrepresentation 27 ABBOTT LABORATORIES, NORTHBAY (5) Negligent misrepresentation HEALTHCARE GROUP, D/B/A NORTHBAY (6) Negligent failure to warn 28

COMPLAINT AND DEMAND FOR JURY TRIAL

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25

27

28

MEDICAL CENTER, and DOES 1-10,
INCLUSIVE,

Defendants.

Plaintiff brings this Complaint and Demand

Plaintiff brings this Complaint and Demand for Jury Trial (the "Complaint") against Mead Johnson & Company, LLC, Mead Johnson Nutrition Company, and Abbott Laboratories, (collectively, "the Defendant Manufacturers"), and NorthBay Healthcare Group d/b/a NorthBay Medical Center ("NorthBay") (together, "Defendants"). Plaintiff alleges the following upon personal knowledge as to Plaintiff's own acts and experiences and upon information and belief, including investigation conducted by Plaintiff's attorneys, as to all other matters.

#### I. INTRODUCTION

- 1. This action arises out of the injuries suffered by the premature infant E.P. who was given the Defendant Manufacturers' cow's milk-based infant feeding products at NorthBay Medical Center. NorthBay acquired and supplied the Defendant Manufacturers' products to E.P. and negligently failed to warn of their unreasonably dangerous properties in a reasonable manner. This caused E.P. to develop necrotizing enterocolitis ("NEC"), a life-altering and potentially deadly disease that largely affects premature babies who are given cow's milk-based feeding products. As a result, E.P. was seriously injured, resulting in long term health effects and accompanying harm to her parent, Plaintiff Margurite Pariani.
- 2. Plaintiff brings these causes of action against Defendants to recover for injuries that are the direct and proximate result of E.P.'s consumption of the Defendant Manufacturers' unreasonably dangerous cow's milk-based infant feeding products, which were acquired and supplied without adequate warning to E.P. by NorthBay Medical Center, a healthcare facility owned and operated by Defendant NorthBay Healthcare Group.

#### II. PARTIES

3. Plaintiff Margurite Pariani is a natural person and a resident of California. Ms. Pariani is the mother of E.P., a minor.

5. Defendant Abbott Laboratories ("Abbott") is a corporation, incorporated under the laws of the State of Illinois. Its principal place of business is in Illinois. Abbott is a manufacturer of cow's milk-based infant feeding products and markets many of its products under the "Similac" brand name.

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

6. Defendant NorthBay Healthcare Group is a corporation, incorporated under the laws of the State of California. Its principal place of business is in California. It owns and manages NorthBay Medical Center, located in Fairfield, California.

#### III. JURISDICTION AND VENUE

- 7. This Court has jurisdiction in this matter pursuant to California Code of Civil Procedure § 410.10. Defendants conduct authorized business in the State of California. They have sufficient minimum contacts with and purposefully avail themselves of the markets of this State. This suit arises out of Defendants' forum-related activities, such that the Superior Court's exercise of jurisdiction would be consistent with traditional notions of fair play and substantial justice.
- 8. Venue is proper pursuant to California Code of Civil Procedure §§ 395 and 395.5 because the unlawful acts and activities giving rise to this action occurred in Solano County.
- 9. This action is an unlimited civil case because the amount of damages requested exceeds \$25,000 and because none of the Plaintiff's causes of action meet the criteria for limited civil cases in the California Code of Civil Procedure.

#### IV. FACTUAL ALLEGATIONS

#### E.P.'s NEC Diagnosis

10. E.P. was born prematurely at NorthBay Medical Center in Fairfield, California on February 2, 2005.

4

5

11.

- 2 shortly after her birth.
- 3 12. Shortly aft
- Shortly after E.P. first ingested the Defendant Manufacturers' products, she developed NEC.
  - 13. E.P. has continued to suffer long term health issues.

#### Cow's Milk-Based Feeding Products Are Known to Cause NEC

E.P. was fed Similac and/or Enfamil cow's milk-based products by NorthBay's staff from

- 6 | 14. NEC is a devastating disease that is the most frequent and lethal gastrointestinal disorder 7 | affecting preterm infants. NEC develops when harmful bacteria breach the walls of the intestine,
- 8 causing portions of the intestine to become inflamed and often to die. Once NEC develops, the
- 9 condition can progress rapidly from mild feeding intolerance to systemic and fatal sepsis. Up to 30
- 10 percent of NEC-diagnosed infants die from the disease.
- 11 15. Preterm and low-birth-weight infants are especially susceptible to NEC because of their
- 12 underdeveloped digestive systems. Extensive scientific research, including numerous randomized
- 13 controlled trials, has confirmed that cow's milk-based feeding products cause NEC in preterm and
- 14 | low-birth-weight infants, which in turn may lead to other medical complications, surgeries, long-term
- 15 | health problems, and death.
- 16 | 16. For example, in one randomized, multicenter study of 926 preterm infants, NEC was six to
- 17 ten times more common in exclusively cow's milk formula-fed babies than in exclusively breast milk-
- 18 fed babies and three times more common in babies who received a combination of formula and breast
- 19 milk. For babies born at more than 30 weeks gestation, NEC was 20 times more common in those
- 20 only fed cow's milk formula than in those fed breast milk.
- 21 | 17. Another randomized controlled trial showed that preterm babies fed an exclusive breast milk-
- 22 based diet were 90% less likely to develop surgical NEC (NEC that requires surgical treatment),
- 23 compared to preterm babies fed a diet that included some cow's milk-based products.
- 24 | 18. Yet another study that analyzed the data from a 12-center randomized trial concluded that
- 25 fortification of breast milk with a cow's milk-based fortifier resulted in a 4.2-fold increased risk of
- 26 NEC and a 5.1-fold increased risk of surgical NEC or death, compared to fortification with a breast
- 27 milk-based fortifier.

- 2
- 3 4
- 5
- 6
- 7
- 8
- 9
- 10
- 12
- 13

- 16

- 18 19
- 21
- 24
- 26 27

- 19. A Surgeon General report, The Surgeon General's Call to Action to Support Breastfeeding,
- warns that, "for vulnerable premature infants, formula feeding is associated with higher rates of
- necrotizing enterocolitis." The report also states that premature infants who are not breastfed are
- 138% more likely to develop NEC.
- 20. The American Academy of Pediatrics, "an organization of 67,000 pediatricians committed to
- the optimal physical, mental, and social health and well-being for all infants, children, adolescents,
  - and young adults," has advised that all premature infants should be fed either their mother's milk or,
  - if their mother's milk is unavailable, pasteurized human donor milk. This recommendation is based
  - on the "potent benefits of human milk," including "lower rates of . . . NEC."
  - 21. A multicenter, randomized, controlled trial found that premature and low-birth-weight infants
- fed an exclusive breast milk-based diet suffered NEC only 3% of the time while premature and low
  - birth-weight infants receiving cow's milk-based formula suffered NEC 21% of the time.
- Another study conducted a randomized comparison of extremely preterm infants who were 22.
- given either (a) a diet of breast milk fortified with a breast milk-based fortifier or (b) a diet containing 14
- 15 variable amounts of cow's milk-based products. The babies given exclusively breast milk products
  - suffered NEC 5% of the time. The babies given cow's milk products suffered NEC 17% of the time.

## Safer, Nutritionally Superior Alternatives To Cow's Milk-Based Products Exist

- 23. A range of options are available that allow preterm and low-birth-weight infants to be fed
- exclusively human milk-based nutrition. For example, in addition to the mother's own milk, an
- 20 established network delivers pasteurized donor breast milk to hospitals nationwide. Moreover,
- hospitals have access to shelf-stable formula and fortifiers derived from pasteurized breast milk.
- 22 A diet based exclusively on breast milk and breast milk fortifiers provides all the nutrition
- 23 necessary to support premature and low-birth-weight infants without the elevated risk of NEC
- associated with cow's milk-based products. For example, in a study analyzing preterm infants who
- 25 were fed an exclusive breast milk-based diet until they reached 34 weeks, all 104 infants exceeded
  - standard growth targets and met length and head-circumference growth targets, demonstrating that
  - infants can achieve and mostly exceed targeted growth standards when receiving an exclusive breast
  - milk-based diet. This is particularly true given the ability of breast milk-based fortifiers to provide

12

13

15

17

18

19

20

21

22

23

24

25

26

27

28

- preterm infants and those at risk for NEC. Breast milk-based nutrition nourishes infants while creating a significantly lower risk of NEC.
- At the time E.P. was fed the Defendant Manufacturers' products, the science clearly demonstrated to Defendants that these products cause NEC and greatly increase the likelihood that a baby will develop NEC, leading to severe injury and often death.
- 28. Despite the scientific consensus that the Defendant Manufacturers' cow's milk-based products present a dire threat to the health and development of preterm infants, the Defendant Manufacturers have made no changes to their products or the products' packaging, guidelines, instructions, or warnings. Instead, they have continued to sell their unreasonably dangerous products. In addition, they incentivize hospitals that know the risks to use their products by providing them to the hospital for free or at a significant discount, in order that vulnerable infants and their families will become accustomed to using their products before discharge.

## The Defendant Manufacturers' False And Misleading Marketing Regarding Cow's Milk-Based Infant Products

- 29. Abbott and Mead have aggressively marketed their cow's milk-based products as medically endorsed and nutritionally equivalent alternatives to breast milk, including prior to E.P.'s birth.
- 30. Abbott's and Mead's marketing approach includes targeting the parents of preterm infants while they are still in the hospital with messages that the Defendant Manufacturers' cow's milk formulas and fortifiers are necessary for the growth and development of their vulnerable children.

- Often these tactics implicitly discourage mothers from breastfeeding, which reduces the mother's supply of breast milk. None of the Defendant Manufacturers' marketing materials, including their promotional websites, reference the science showing how significantly their products increase the risk of NEC.
- 31. Numerous studies have shown the detrimental impact of formula advertising on the rates of initiation and continuation of breastfeeding, including studies that show that as "hand feeding" (non-breastfeeding) advertisements increase, reported breastfeeding rates decrease in the following year.
- 32. Undoubtedly aware of the impact of their advertising, the Defendant Manufacturers, along with other formula manufacturers, are willing to spend massive sums to disseminate their message, with one study estimating that formula manufacturers collectively spent \$4.48 billion on marketing and promotion in 2014 alone.
- 33. Recognizing the abuse and dangers of infant formula marketing, in 1981, the World Health Assembly—the decision-making body of the World Health Organization—developed the International Code of Marketing of Breast-milk Substitutes ("the Code"), which required companies to acknowledge the superiority of breast milk, the negative effect on breastfeeding of introducing partial bottle-feeding, and the difficulty of reversing the decision not to breastfeed. The Code also forbade advertising or other forms of promotion of formula to the general public as well as providing sample products to mothers or members of their families.
- 34. While Abbott and Mead acknowledge the Code on their websites and claim to support the effort to encourage mothers to breastfeed for as long as possible, this is little more than lip service. Instead, the Defendant Manufacturers' aggressive marketing exploits new parents' darkest fears—that the nutrition they are supplying to their child will not provide the best chance of survival—while wholly failing to warn that their products come with a significantly increased risk of NEC.
- 35. For example, Abbott's website, on a paged titled "Infant Formula Marketing," states: "We agree with the World Health Organization that breastfeeding provides the best nutrition for babies, and we support its goal to increase breastfeeding. We also recognize that for infants who aren't breastfed—for medical reasons or otherwise—infant formula is the only appropriate, safe alternative

4

5

36.

Similac Special Care 20, Similac Special Care 24, Similac Special Care 24 High Protein, and Similac

6 7

8

9

10

12

13 14

16 17

19

20 21

23 24

25

27

human milk-based formula. Abbott markets and sells multiple products specifically targeting preterm and low-birthweight infants, including Liquid Protein Fortifier, Similac NeoSure, Similac Human Milk Fortifiers,

to meet babies' nutritional needs." This statement ignores the existence of donor milk, as well as

Special Care 30. In advertising these products, Abbott emphasizes the products' purported ability to assist underdeveloped babies in reaching their growth targets. For example, on the since-edited

webpage regarding Similac NeoSure, Abbott noted: "Your premature baby didn't get her full 9

months in the womb, so her body is working hard to catch up. During her first full year, feed her Similar NeoSure, a nutrient-enriched formula for babies who were born prematurely, and help

support her development." Yet, no mention was made of the accompanying significantly increased

risk of NEC. At some point, the website was edited to remove this statement. However, upon

information and belief, the statement remained on the website until at least December 2020.

37. Mead markets and sells multiple products specifically targeting premature infants, including Enfamil Neuro Pro EnfaCare Infant Formula, Enfamil Premature Infant Formula 24 Cal High Protein, Enfamil Premature Infant Formula 30 Cal with Iron, Enfamil Premature Infant Formula 24 Cal with Iron, Enfamil Premature Infant Formula 20 Cal with Iron, Enfamil 24 Cal Infant Formula, and Enfamil Human Milk Fortifier (acidified liquid and powder). In advertising these products, Mead emphasizes the purported similarities between its formula and breast milk, while failing to include any information about the nutritional deficits and dangers that accompany formula use. For example, the since-edited webpage for Enfamil Enfacare stated: "Premature babies fed Enfamil® formulas during the first year have achieved catch-up growth similar to that of full term, breastfed infants" and noted that Enfamil formulas include "expert-recommended levels of DHA and ARA (important fatty

38. One Enfamil advertisement, introducing a new product line called Enfamil NeuroPro, is entirely focused on favorably comparing Enfamil's formula to breast milk, without any mention of the product's extreme risks. Indeed, the terms "human milk" and "breast milk" are used 13 times in the advertisement, including in such statements as "for decades human milk has inspired the

acids found naturally in breast milk) to support brain and eye development."

## Case 2:22-cv-00723-TLN-AC Document 1-2 Filed 04/27/22 Page 10 of 27

advancements in Enfamil formulas and now through extensive global research, we are taking an even closer look at human milk" and "only Enfamil NeuroPro has a fat blend of MFGM and DHA previously found only in breast milk." The webpage for the product has made similar manipulative claims, stating "Enfamil is backed by decades of breast milk research and multiple clinical studies" and it claims that "to create our best formulas, we collaborated on some of the most extensive breast milk studies to date[.]"

- 39. Formula manufacturers have long used their relationships with hospitals and the discharge process to encourage parents to substitute formula for breast milk. They offer free or reduced-cost formula to hospitals for use with infants before discharge. And they offer free formula, coupons, and even entire gift baskets to parents before their infants' discharge from the NICU or hospital.
- 40. Through this early targeting, the Defendant Manufacturers create brand loyalty under the guise of a "medical blessing," in hopes that new parents continue to use formula after they leave the hospital, resulting in increased expense for parents, significantly increased risk for babies, and increased profit for the Defendant Manufacturers. The Defendant Manufacturers' giveaways and gift baskets send confusing signals to mothers who are simultaneously being encouraged to breastfeed by their healthcare professionals, and they have been shown to negatively impact breastfeeding rates.
- 41. Further, when the Defendant Manufacturers recognized a shift in the medical community towards an exclusive breast milk-based diet for premature infants, Abbott developed a product called "Similac Human Milk Fortifier," and Mead developed "Enfamil Human Milk Fortifier." These names are misleading in that they suggest that the products are derived from breast milk, when, in fact, they are cow's milk-based products. One study, for example, found that only 8.8 percent of parents surveyed in the NICU interpreted "human milk fortifier" as potentially meaning a cow's milk-based product. The packaging appears as:

The Defendant Manufacturers' Inadequate Warnings

43. Although Mead promotes an aggressive marketing campaign designed to convince parents that its cow's milk-based products are safe and necessary for the growth of a premature infant, the product is in fact extremely dangerous for premature infants. Enfamil products significantly increase the chances of a premature infant developing potentially fatal NEC.





The Defendant Manufacturers have designed powerful misleading marketing campaigns to 42. deceive parents into believing that: (1) cow's milk-based products are safe, including for preterm infants; (2) cow's milk-based products are equal, or even superior, substitutes to breast milk; (3) cow's milk-based products are necessary for proper growth and development of preterm infants; and (4) physicians consider the Defendant Manufacturers' cow's milk-based products to be a first choice. This marketing scheme is employed despite all Defendants knowing of and failing to warn of the extreme risk of NEC and death that cow's milk-based products pose to preterm infants like E.P.

- 44. The Enfamil products Mead markets specifically for premature infants are commercially available at retail locations and online. No prescription is necessary.
- 45. Despite knowing of the risk of NEC, the packaging of Mead's products does not warn of the significantly increased risk of NEC (and resulting medical conditions, and/or death) associated with Mead's products, or of the magnitude of this increased risk. Mead likewise did not provide instructions or guidance for how to avoid NEC.
- 7 | 46. Mead cites no medical literature or research to guide the use of its products.
- 8 47. Despite knowing of the risk of NEC, Mead did not warn of the significantly increased risk of NEC (and resulting medical conditions, and/or death) associated with its products, or of the magnitude of this increased risk. Mead likewise did not provide instructions or guidance for how to avoid NEC.
  - 48. Mead deceived the public, parents, physicians, other medical professionals, and medical staff into believing that Enfamil products were a safe and necessary alternative, supplement and/or substitute to breast milk.
  - 49. Despite knowing that its products were being fed to premature infants, often without the parents' informed consent, Mead failed to require or recommend that medical professionals inform parents of the significant risk of NEC or to require that parental consent be obtained prior to the products being fed to their babies.
  - 50. Like Mead, Abbott promotes an aggressive marketing campaign designed to make parents believe that its products are safe and necessary for the growth of premature infants, despite the products in fact being extremely dangerous for premature infants. Abbott's products significantly increase the chances of a premature infant getting potentially fatal NEC.
- 22 | 51. The products Abbott markets specifically for premature infants are available at retail locations 23 | and online. No prescription is necessary.
  - 52. Despite knowing of the risk of NEC, Abbott did not warn of the significantly increased risk of NEC (and resulting medical conditions, and/or death) associated with its products, or of the magnitude of this increased risk. Abbott likewise did not provide instructions or guidance for how to avoid NEC.

- 2
- 3

53.

- 4 5
- 6
- 7
- 8
- 9
- 11
- 12
- 13

- 16
- 18
- 20
- 21
- 23
- 24
- 25
- 28

into believing that its products were a safe and necessary alternative, supplement and/or substitute to breast milk.

Abbott deceived the public, parents, physicians, other medical professionals, and medical staff

54. Despite knowing that its products were being fed to premature infants, often without the parents' informed consent, Abbott failed to require or recommend that medical professionals inform parents of the significant risk of NEC or to require that parental consent be obtained prior to the products being fed to their babies.

#### NorthBay's Failure to Warn

- 55. On information and belief, NorthBay was aware of the significantly increased risk of NEC
- and death associated with providing Defendant Manufacturers' cow's milk-based products to its
- premature infant patients. NorthBay knew or should have known that feeding these cow's milk-based
- products can cause NEC in premature infants who otherwise would not have developed this
- devastating condition. However, instead of warning of those dangers, or supplying breast milk-based
- feeding products to preterm infants like E.P., NorthBay has continued to source, distribute, and supply 14
  - the Defendant Manufacturers' products in its hospitals without any adequate warning.
- To that end, subsequent to E.P.'s NEC diagnosis, NorthBay staff published research titled 56.
- 17 "NEC-zero recommendations from scoping review of evidence to prevent and foster timely
  - recognition of necrotizing enterocolitis" in "Maternal Health, Neonatology, and Perinatology." This
- 19 research suggests NorthBay's longstanding awareness of the importance of "[p]riotizing human milk"
  - in NICU feeding protocols and makes clear that premature infants who are fed "donor human milk-
  - based fortifier [have] reduced odds of NEC compared to those fed cow's milk based fortifier."
- Contrary to these recommendations and findings, on information and belief, from the time of E.P.'s
- diagnosis through present day, NorthBay has resisted the transition to an exclusive breast milk-based
- diet for preterm patients that would reduce NEC incidence and obviate the need to warn parents, like
  - Margurite Pariani, of the risks posed by the Defendant Manufacturers' products.
- 26 57. NorthBay also purports to adhere to the tenets of the "Baby Friendly Hospital Initiative,"
- which seeks to increase rates of breastfeeding initiation, exclusivity, and diet duration. The "Baby 27
  - Friendly Hospital Initiative" specifically targets a reduction in the rates of necrotizing enterocolitis in

3

4

5

6

7

8

9

10

11

12 13

14

15 16

17

18

19

20

21

22

23

24

25

26 27

28

preterm infants by encouraging implementation of exclusive breast milk diets among new mothers. Although NorthBay Medical Center currently maintains "Baby Friendly Hospital" designation status, its embrace of the Initiative came too late for E.P.

- 58. Although NorthBay knew or should have known of the serious danger of the Defendant Manufacturers' products, NorthBay has continued to purchase, supply, and distribute these products to preterm infants without providing full and adequate warnings of the attendant risks to parents, healthcare professionals, and other medical staff at its relevant facilities. As a result, E.P. was fed the Defendant Manufacturers' cow's milk-based products at NorthBay Medical Center, causing her injuries. This occurred even though hospitals across the country, including NorthBay's own hospitals, warn and obtain consent from parents before providing other safer forms of nutrition, such as donor breast milk.
- 59. NorthBay's failure to warn of the risks posed by the Defendant Manufacturers' products is entrenched (and compounded) by the financial benefits it accrues from its relationships with the Defendant Manufacturers. On information and belief, NorthBay has received the Defendant Manufacturers' cow's milk-based products for free or at a significant discount, and has granted their sales representatives access to NorthBay's healthcare professionals and medical staff. These sales representatives have provided deceptive information that NorthBay reasonably knew or should have known would ultimately reach parents through those staff. This arrangement dovetails with the Defendant Manufacturers' own marketing strategy, which aims to "sell and service" healthcare professionals and medical staff as a means of converting them into "extra salespersons."

#### Safer Alternative Designs

- 60. The Defendant Manufacturers' cow's milk-based products made specifically for premature infants are unreasonably unsafe for those infants. The Defendant Manufacturers could have used pasteurized breast milk instead of cow's milk in their products, which would have produced a safer product.
- Prolacta Bioscience manufactures and sells breast milk-based feeding products, specifically 61. designed for preterm infants, which contain no cow's milk. This alternative design provides all the

22

23

24

necessary nutrition for growth and development that cow's milk-based products provide, without the same unreasonably dangerous and deadly effects.

62. On information and belief, Abbott and Mead were aware of the significantly increased risk of NEC and death associated with their cow's milk-based products, and instead of warning of the dangers, or removing them altogether, Abbott and Mead have continued to use cow's milk as the foundation of their products.

## FIRST CAUSE OF ACTION STRICT LIABILITY FOR DESIGN DEFECT (Against Abbott and Mead)

- 63. Plaintiff incorporates by reference each of the preceding paragraphs as if fully set forth herein.
- 64. Abbott and Mead, as the manufacturers and/or sellers of the products at issue in this litigation, owed a duty to the consuming public in general, and Plaintiff in particular, to manufacture, sell, and distribute their products in a manner that was not unreasonably dangerous.
- 65. Abbott and Mead also owed a duty to the consuming public in general, and Plaintiff in particular, to manufacture, sell, and distribute their products in a manner that was merchantable and reasonably suited for their intended use.
- 66. Abbott and Mead knew that their products would be used to feed premature infants like E.P. and knew (or reasonably should have known) that use of their cow's milk-based products significantly increased the risk of NEC, serious injury, and death, and that such use was therefore unreasonably dangerous to premature infants, not reasonably suited for the use intended, not merchantable, and had risks that exceeded a reasonable buyer's expectations. Nonetheless, they continued to sell and market their defective products as appropriate for premature infants.
- 67. E.P. ingested Abbott and/or Mead's unreasonably dangerous cow's milk-based products. The risks of feeding those products to E.P. outweighed the benefits. An ordinary consumer would not expect those products to carry a significant risk of serious injury and death from NEC.
- 25 | 68. Abbott and Mead knew (or reasonably should have known) that breast milk-based nutrition did not carry the same risks of NEC, serious injury, and death that their products do.
- 27 | 69. Abbott's and Mead's products contained cow's milk at the time they left the manufacturing 28 | facility.

7

10

11

12

13 14

16 17

18 19

20

21 22

23 24

25 26

27

28

70. Abbott and Mead did not develop a human-milk based product that was safer for premature infants and did not reformulate their products to reduce the risk of NEC, serious injury, and death, even though doing so was economically and technologically feasible and even though pasteurized breast milk was an available alternative.

71. Abbott's and/or Mead's products were fed to E.P., which directly and proximately caused her NEC and led to injury.

72. As a further direct result, Plaintiff Margurite Pariani has suffered significant emotional distress, loss of income, and/or other harms. Her life has been significantly affected by E.P.'s injuries.

#### SECOND CAUSE OF ACTION STRICT LIABILITY FOR FAILURE TO WARN (Against Abbott and Mead)

73. Plaintiff incorporates by reference each of the preceding paragraphs as if fully set forth herein.

74. Abbott and Mead, as the manufacturers and/or sellers of the infant products at issue in this litigation, owed a duty to the consuming public in general, and Plaintiff in particular, to provide adequate warnings or instructions about the dangers and risks associated with the use of their products with preterm infants, specifically including but not limited to the risk of NEC, serious injury, and death.

75. Abbott's and Mead's duty to warn is part of their general duty to design, manufacture, and sell their infant products in a manner that is reasonably safe for their foreseeable uses. By designing their products with cow's milk-based ingredients, Abbott and Mead undertook a duty to warn of the unreasonable risk of harm posed by those ingredients, specifically including the significantly increased risk of NEC, severe injury, and death. The failure to warn makes the products at issue in this litigation unreasonably dangerous.

76. Specifically, Abbott and Mead breached their duty to warn of the foreseeable risks of the infant products at issue in this litigation because they knew or should have known that their cow's milk-based premature infant products would be fed to premature infants like E.P., and that their products might cause E.P. to develop NEC, severe injury, or death, yet they failed to provide adequate warnings of those risks. Among other risks, the Defendant Manufacturers:

- Failed to warn that cow's milk-based products significantly increase the risk of NEC, severe injury, and death for E.P.; and/or
- b. Failed to warn that cow's milk-based products are unsafe and/or contraindicated for premature infants like E.P.; and/or
- c. Inserted warnings and instructions on their products that are severely inadequate, vague, confusing, and provide a false sense of security in that they warn and instruct specifically on certain conditions, but do not warn of the significantly increased risk of NEC and death; and/or
- d. Failed to insert a large and prominent "black box"-type warning that their cow's milk-based products are known to significantly increase the risk of NEC and death when compared to breast milk in premature infants; and/or
- e. Failed to disclose well-researched and well-established studies that linked cow's milkbased products to NEC and death in premature infants; and/or
- f. Failed to insert a warning or instruction to healthcare professionals and other medical staff in the hospital that parents should be provided information necessary to make an informed choice about whether to allow their babies to be fed the Defendant Manufacturers' products, notwithstanding their substantial risks; and/or
- g. Failed to provide a warning in a method reasonably calculated or expected to reach the parents of newborns, like Plaintiff Margurite Pariani; and/or
- Failed to provide statistical evidence showing the magnitude of increased risk of NEC
   in premature infants associated with cow's milk-based products.
- 77. Abbott's and Mead's products contained cow's milk at the time they left the manufacturing facility.
- 24 | 78. As a direct and proximate result of the inadequacy of the warnings and the pervasive 25 | marketing campaigns suggesting the safety and necessity of the Defendant Manufacturers' products,
- 26 E.P. was fed cow's milk-based products, which caused her to develop NEC.
  - 79. The unwarned-of risks are not of a kind that an ordinary consumer would expect. Had physicians and medical staff known of the extreme risk associated with feeding premature infants

1	cow's milk-based formula, they would not have fed E.P. those products. Had E.P.'s mother, Ms.
2	Pariani, known of the significant risks of feeding E.P. cow's milk-based formula, she would not have
3	allowed such products to be fed to E.P.
4	80. As a further direct result, Ms. Pariani suffered significant emotional distress, loss of income,
5	and/or other harms. Her life has been significantly affected by E.P.'s injuries.
6	THIRD CAUSE OF ACTION NEGLIGENCE
7	(Against Abbott and Mead)
8	81. Plaintiff incorporates by reference each of the preceding paragraphs as if fully set forth herein.
9	82. Abbott and Mead, as the manufacturers and/or sellers of the products at issue in this litigation,
10	owed a duty to the consuming public in general, and Plaintiff in particular, to exercise reasonable care
11	to design, test, manufacture, inspect, and distribute a product free of unreasonable risk of harm to
12	users, when such products are used in their intended manner and for their intended purpose.
13	83. At all times relevant to this action, E.P.'s healthcare professionals and medical staff used the
14	products at issue in their intended manner and for their intended purpose.
15	84. Abbott and Mead, directly or indirectly, negligently, and/or defectively made, created,
16	manufactured, designed, assembled, tested, marketed, sold, and/or distributed the cow's milk-based
17	infant products at issue in this litigation and thereby breached their duty to the general public and
18	Plaintiff.
19	85. Specifically, although Abbott and Mead knew or reasonably should have known at the time
20	of production that their cow's milk-based infant products significantly increased the risk of NEC,
21	serious injury, and death, they failed to act in a reasonably prudent manner and breached their duty
22	by:
23	a. Failing to warn that cow's milk-based products significantly increase the risk of NEC,
24	severe injury, and death for E.P.; and/or
25	b. Failing to warn that cow's milk-based products are unsafe and/or contraindicated for
26	premature infants like E.P.; and/or
27	c. Inserting warnings and instructions that are severely inadequate, vague, confusing, and
28	provide a false sense of security in that they warn and instruct specifically on certain

5

8

10

12

11

13 14

15

16

17 18

19

20

21

23

2526

27

28

conditions, but do not warn of the significantly increased risk of NEC and death; and/or

- d. Failing to insert a large and prominent "black box"-type warning that their cow's milk-based products are known to significantly increase the risk of NEC and death when compared to breast milk in premature infants; and/or
- e. Failing to provide well-researched and well-established studies that linked cow's milkbased products to NEC and death in premature infants; and/or
- f. Failing to insert a warning or instruction to healthcare professionals and other medical staff in the hospital that parents should be provided information necessary to make an informed choice about whether to allow their babies to be fed the Defendant Manufacturers' products, notwithstanding their substantial risks; and/or
- g. Failing to provide a warning in a method reasonably calculated/expected to reach the parents of newborns, like Plaintiff Margurite Pariani; and/or
- h. Failing to provide statistical evidence showing the magnitude of increased risk of NEC in premature infants associated with cow's milk-based products.
- 86. In addition, although Abbott and Mead knew or reasonably should have known at the time of production that their cow's milk-based products significantly increased the risk of NEC, serious injury, and death, they failed to act in a reasonably prudent manner and breached their duty by failing to perform the necessary process of data collection, detection, assessment, monitoring, prevention, and reporting or disclosure of adverse outcomes in infants who ingest their products.
- 87. As a direct and proximate result of the Defendant Manufacturers' failure to act in a reasonably prudent manner and their breach of duty, E.P. was fed cow's milk-based products, which caused her to develop NEC.
- 88. Had Abbott and Mead satisfied their duties to the consuming public in general, E.P. would not have been exposed to their unreasonably dangerous cow's milk-based products.
- 89. As a further direct result, E.P.'s mother, Ms. Pariani, suffered significant emotional distress, loss of income, and/or other harms. Her life has been significantly affected by E.P.'s injuries.

2

### 3

4 5

6

8

9

10

12

13

14

16

17

18 19

20

21

22

23

24

25

26

27

28

### FOURTH CAUSE OF ACTION INTENTIONAL MISREPRESENTATION (Against Abbott and Mead)

- 90. Plaintiff incorporates by reference each of the preceding paragraphs as if fully set forth herein.
- At all times relevant to this action, E.P. consumed the Defendant Manufacturers' products in 91. their intended manner and for their intended purpose.
- 92. Abbott and Mead, as the manufacturers and/or sellers of the infant products at issue in this litigation, owed a duty to the consuming public in general, and Plaintiff in particular, to provide truthful, accurate, fulsome information about the risks and benefits of using their products when used in the intended manner and for the intended purpose.
- 93. Abbott and Mead breached their duty through misrepresentations made to consumers, physicians, and medical staff in their advertising and promotional materials, as described in previous paragraphs and incorporated herein, each of whom were foreseeable and intended recipients of this information.
- 94. Specifically, upon information and belief, Abbott and Mead made the following false statements of material fact on an ongoing and repeated basis and prior to the time E.P. was fed their products:
  - That their cow's milk-based products were safe and beneficial for premature infants when they knew or should have known that their products were unreasonably dangerous and cause NEC, serious injury, and death in premature infants; and/or
  - b. That their cow's milk-based products were necessary to the growth and nutrition of premature infants, when they knew or should have known that their products were not necessary to achieve adequate growth; and/or
  - That their products have no serious side effects, when they knew or should have known the contrary to be true; and/or
  - That cow's milk-based products were safe for premature infants; and/or
  - That cow's milk-based products were necessary for optimum growth; and/or e.
  - That cow's milk-based products were similar or equivalent to breast milk; and/or

25

26

27

- g. That their products were safe and more like breast milk than other infant products and that they had removed the harmful ingredients of cow's milk when, in fact, the cow's milk in their products was still capable of causing NEC, serious injury, and death; and/or
- h. That their products were based on up-to-date science, which made them safe for premature infants; and/or
- Omitting the material fact that their products significantly increased the risk of NEC in premature infants.
- 95. Abbott and Mead knew or reasonably should have known those misrepresentations to be false.
- 96. The Defendant Manufacturers' misrepresentations were intended to, and in fact did, induce physicians and medical staff, including E.P.'s physicians and medical staff, to provide their infant products to babies, including E.P.
- 97. Plaintiff Margurite Pariani was not aware that these misrepresentations were false and justifiably relied on them. The Defendant Manufacturers' misrepresentations induced Ms. Pariani to allow her child to be fed Abbott's and Mead's infant products, in reliance on all the messaging she received about formula feeding, including, directly or indirectly, the Defendant Manufacturers' messaging. Had Abbott and Mead not committed these intentional misrepresentations, E.P. would not have been exposed to the Defendant Manufacturers' unreasonably dangerous cow's milk-based products.
- 98. As a direct and proximate result, Abbott's and Mead's products were fed to E.P., causing her
  NEC and subsequent injury.
  - 99. As a further direct result, Ms. Pariani has suffered significant emotional distress, loss of income, and/or other harms. Her life has been significantly affected by E.P.'s injuries.

# FIFTH CAUSE OF ACTION NEGLIGENT MISREPRESENTATION (Against Abbott and Mead)

- 100. Plaintiff incorporates by reference each of the preceding paragraphs as if fully set forth herein.
- 101. At all times relevant to this action, E.P. consumed the products at issue in their intended manner and for their intended purpose.

- 102. Abbott and Mead, as the manufacturers and/or sellers of the products at issue in this litigation, owed a duty to the consuming public in general, and Plaintiff in particular, to provide truthful, accurate, and complete information about the risks and benefits of using their products when used in the intended manner and for the intended purpose.
- 103. In the course of their business, Abbott and Mead breached their duty through misrepresentations made to consumers, physicians, and medical staff in their advertising and promotional materials, as described in previous paragraphs and incorporated herein, each of whom were foreseeable recipients of this information.
- 104. Specifically, upon information and belief, Abbott and Mead made the following false statements of material fact on an ongoing and repeated basis and prior to the time E.P. was fed their products:
  - a. That their cow's milk-based products were safe and beneficial for premature infants when they knew or should have known that their products were unreasonably dangerous and cause NEC, serious injury, and death in premature infants; and/or
  - b. That their cow's milk-based products were necessary to the growth and nutrition of premature infants, when they knew or should have known that their products were not necessary to achieve adequate growth; and/or
  - c. That their products have no serious side effects, when they knew or should have known the contrary to be true; and/or
  - d. That cow's milk-based products were safe for premature infants; and/or
  - e. That cow's milk-based products were necessary for optimum growth; and/or
  - f. That cow's milk-based products were similar or equivalent to breast milk; and/or
  - g. That their products were safe and more like breast milk than other infant products and that they had removed the harmful ingredients of cow's milk when, in fact, the cow's milk in their products was still capable of causing NEC, serious injury, and death; and/or
  - h. That their products were based on up-to-date science, which made them safe for premature infants; and/or

1	i. Omitting the material fact that their products significantly increased the risk of NEC
2	in premature infants.
3	105. Abbott and Mead were negligent or careless in not determining those representations to be
4	false.
5	106. The Defendant Manufacturers' misrepresentations were intended to and did in fact induce
6	physicians and medical staff, including E.P.'s physicians and medical staff, to provide their products
7	to babies, including E.P.
8	107. The Defendant Manufacturers' misrepresentations induced, and were intended to induce
9	Plaintiff Margurite Pariani, to allow her child to be fed Abbott's and Mead's infant products, in
10	justifiable reliance on all the messaging she received about formula feeding, including, directly or
11	indirectly, the Defendant Manufacturers' messaging. Had Abbott and Mead not committed these
12	negligent misrepresentations, E.P. would not have been exposed to their unreasonably dangerous
13	cow's milk-based products.
14	108. As a direct and proximate result, Abbott's and Mead's products were fed to E.P., causing her
15	NEC and subsequent injuries.
16	109. As a further direct result, Ms. Pariani suffered significant emotional distress, loss of income,
17	and/or other harms. Her life has been significantly affected by E.P.'s injuries.
18	<u>SIXTH CAUSE OF ACTION</u> NEGLIGENT FAILURE TO WARN
19	(Against NorthBay)
20	110. Plaintiff incorporates by reference each of the preceding paragraphs as if fully set forth herein.
21	111. NorthBay, as a purchaser, supplier, and/or distributor of the products at issue in this litigation,
22	owed a duty to the consuming public in general, and Plaintiff in particular, to purchase, supply, and
23	distribute products that were free of unreasonable risk of harm when used in their intended manner
24	and for their intended purpose.
25	112. At all times relevant to this action, E.P. used the cow's milk-based products purchased,
26	supplied, and/or distributed by NorthBay in their intended manner and for their intended purpose.
27	113. NorthBay employed or contracted with the healthcare professionals and medical staff at
28	NorthBay Medical Center, managing these individuals during their treatment of E.P.

26

27

28

114. NorthBay negligently supplied and distributed the Defendant Manufacturers' milk-based infant feeding products to these healthcare professionals and medical staff for use on premature infants, including E.P.

115. Moreover, at all relevant times, NorthBay knowingly authorized the Defendant Manufacturers' sales representatives to market, advertise, distribute, and/or sell their products at NorthBay Medical Center. The Defendant Manufacturers' sales representatives were encouraged to interact with NorthBay's healthcare professionals and medical staff. These interactions provided the Defendant Manufacturers' sales representatives an opportunity to co-opt NorthBay's healthcare professionals and medical staff into assisting with the marketing, distribution, and/or sale of the Defendant Manufacturers' unreasonably dangerous products to consumers, such as Plaintiff Margurite Pariani.

- 116. NorthBay also knowingly allowed the Defendant Manufacturers' sales representatives to routinely misrepresent the risks and benefits of their products to NorthBay's healthcare professionals and medical staff, including the misrepresentation that premature babies would not grow adequately with human milk and human milk products and that use of donor milk was not advised for premature infants.
- 117. NorthBay knew or reasonably should have known at the time that it acquired, distributed, and supplied the Defendant Manufacturers' cow's milk-based infant products that these products significantly increased the risk of NEC, serious injury, and death.
- 118. Nonetheless, NorthBay failed to act in a reasonably prudent manner and breached its duty by:
  - Failing to warn that cow's milk-based products significantly increase the risk of NEC, severe injury, and death in those babies; and/or
  - Failing to warn that cow's milk-based products are unsafe and/or contraindicated for premature infants like E.P.; and/or
  - c. Failing to warn or instruct its healthcare professionals and medical staff on the information that should be provided to parents in order to make an informed choice about whether to allow their babies to be fed the Defendant Manufacturers' products, notwithstanding their substantial risk; and/or

12

13

15

16

17

19 20

21

23 1

25

24

2627

- d. Failing to provide its healthcare professionals and medical staff with the well-researched and well-established studies that link cow's milk-based products to NEC and death in premature infants; and/or
- Failing to provide a warning in a method reasonably calculated/expected to reach the parents of newborns; and/or
- f. Failing to provide statistical evidence showing the magnitude of increased risk of NEC in premature infants associated with cow's milk-based products; and/or
- g. Failing to prevent the Defendant Manufacturers' sales representatives from misrepresenting to NorthBay's healthcare professionals and medical staff that premature babies would not grow adequately with human milk and human milk products and that use of donor milk was not advised for premature infants.
- 119. Reasonable hospitals under the same or similar circumstances would have warned of the above risks, would have instructed their healthcare professionals and medical staff—as well as patients—on the safe use of the Defendant Manufacturers' products, and would have restricted the ability of the Defendant Manufacturers' sales representatives to market the Defendant Manufacturers' unreasonably dangerous products without adequate warning.
- 120. NorthBay knew or reasonably should have known that its medical professionals and the parents of premature infants, including Margurite Pariani, would not have realized the risks associated with feeding cow's milk-based formula to premature infants.
- 121. Had NorthBay exercised reasonable care by satisfying its duty to warn its medical providers and patients about the Defendant Manufacturers' unreasonably dangerous products, E.P. would not have been exposed to the Defendant Manufacturers' cow's milk-based products.
- 122. As a direct and proximate result of NorthBay's failure to warn of the danger posed by the Defendant Manufacturers' unreasonably dangerous cow's milk-based products, E.P. was fed the Defendant Manufacturers' cow's milk-based products, which caused her to develop NEC and significant injuries.
- 123. As a further direct and proximate result of NorthBay's negligent failure to warn of the Defendant Manufacturers' unreasonably dangerous products, Ms. Pariani suffered significant

#### Case 2:22-cv-00723-TLN-AC Document 1-2 Filed 04/27/22 Page 26 of 27 1 emotional distress, loss of income, and/or other harms. Her life has been significantly affected by 2 E.P.'s injuries. 3 PRAYER FOR RLIEF WHEREFORE, Plaintiff prays for judgment as follows: 4 5 124. For compensatory damages in an amount to be proven at trial; 6 125. For damages for past, present, and future emotional distress, loss of enjoyment of life, pain and suffering, mental anguish, and other non-economic losses sustained as a result of Defendants' conduct; 8 9 For past, present, and future out-of-pocket costs, lost income and/or lost revenue, and/or lost 126. profits, and/or lost business opportunity, lost earning capacity, and costs related to medical or mental health treatment which have or may be recommended; 12 For punitive damages resulting from Defendants' oppressive, fraudulent, and/or malicious 127. 13 conduct, as permitted by law; 14 128. For interest as permitted by law; 15 129. For attorney's fees, expenses, and recoverable costs incurred in connection with this action; 16 and For such other and further relief as the Court deems proper. 17 130. 18 DEMAND FOR JURY TRIAL 19 Plaintiff hereby demands a jury trial for all claims triable. 131. 20 Dated: March 23, 2022. 21 Respectfully submitted, 22 Elizabeth Julen 23 M. Elizabeth Graham (Bar No.143085) 24 GRANT & EISENHOFER, P.A. 25 201 Mission Street, Suite 1200 San Francisco, CA 94105 26 Telephone: (415) 293-8210 Fax: (415) 789-4367 27 Email: egraham@gelaw.com 28

## Case 2:22-cv-00723-TLN-AC Document 1-2 Filed 04/27/22 Page 27 of 27 Warren Postman (Bar No. 330869) KELLER LENKNER LLC 1100 Vermont Ave. NW 2 12th Floor Washington, D.C. 20005 3 Telephone: (202) 918-1870 Fax: (312) 971-3502 4 Email: wdp@kellerlenkner.com 5 Kimberly Channick (Bar No. 325089) WALSH LAW PLLC 6 13428 Maxella Avenue, #203 Marina del Rey, CA 90292 Telephone: (213) 863-4276 Fax: (202) 780-3678 8 Email: kchannick@alexwalshlaw.com 9 Alex Walsh (pro hac vice forthcoming) WALSH LAW PLLC 10 1050 Connecticut Ave, NW, Suite 500 Washington, D.C. 20036 11 Telephone: (202) 780-4127 Fax: (202) 780-3678 12 Email: awalsh@alexwalshlaw.com 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 - 26 -COMPLAINT AND DEMAND FOR JURY TRIAL